

## Self-Certified Sophisticated Investor

If you meet condition **A, B or C below**, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

Have you:

A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

- No  
 Yes

If yes, what is/was the name of the business/organisation

B) Been the director of a company with an annual turnover of at least £1 million, in the last two years?

- No  
 Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?

C) Been a member of the network or syndicate of business angels for more than six months, and are still a member?

- No  
 Yes

OR

D) None of these apply to me.

- Yes

I declare that I have answered yes to A and/or B and/or C, and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA);  
b) I can expect **no protection** from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.



**I accept that I could lose all of the money I invest.**

X \_\_\_\_\_

# Signature Certificate

Document name: Self-Certified Sophisticated Investor

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