

Self-Certified Sophisticated Investor

If you meet condition **A, B or C below**, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

Have you:

A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

- ☐ No
- ☐ Yes

If yes, what is/was the name of the business/organisation

B) Been the director of a company with an annual turnover of at least £1 million, in the last two years?

- ☐ No
- ☐ Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?

C) Been a member of the network or syndicate of business angels for more than six months, and are still a member?

- ☐ No
- ☐ Yes

OR

D) None of these apply to me.

- ☐ Yes

I declare that I have answered yes to A and/or B and/or C, and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA);
- b) I can expect **no protection** from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.



I accept that I could lose all of the money I invest.

X _____



Signature Certificate

Document name: Self-Certified Sophisticated Investor

🔒 Unique Document ID: 7373BFEFB2CACEE3664E8E38B6DC4E58CB0A3161



Timestamp	Audit
October 4, 2023 1:49 pm BST	Self-Certified Sophisticated Investor Uploaded by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com IP 94.0.10.86
October 4, 2023 1:52 pm BST	Blake BV - blake@burevalleygroup.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 127.0.0.1
October 4, 2023 1:52 pm BST	Kyle NexGen - kyle.payne@nexgencloud.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 127.0.0.1
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October 4, 2023 1:52 pm BST	Tiffany BV - tiffany.arthur@nexgencloud.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 127.0.0.1
October 4, 2023 1:52 pm BST	Connor BV - connor@nexgencloud.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 127.0.0.1
October 4, 2023 1:54 pm BST	Blake BV - blake@burevalleygroup.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 127.0.0.1
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May 2, 2024 3:23 pm BST	Blake BV - blake@burevalleygroup.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 94.0.10.86
May 2, 2024 3:23 pm BST	Kyle NexGen - Kyle.payne@nexgencloud.com added by Chris Starkey - Bure Valley Group - nexgen@burevalleygroup.com as a CC'd Recipient Ip: 94.0.10.86
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This audit trail report provides a detailed record of the online activity and events recorded for this contract.

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